

This FINANCING STATEMENT is presented to a Filing Officer for filing pursuant to the Uniform Commercial Code.

No. of Additional Sheets Presented:

3. The Debtor is a transmitting utility.

1. Debtor(s) (Last Name First) and Address(es):

2. Secured Party(ies) Name(s) and Address(es)

4. For Filing Officer: Date, Time, No. Filing Office

5. This Financing Statement covers the following types (or items) of property:

6. Assignee(s) of Secured Party and Address(es)

Products of the Collateral are also covered.

8. Describe Real Estate Here:

This statement is to be indexed in the Real Estate Records:

9. Name of a Record Owner

7. The described crops are growing or to be grown on: *
 The described goods are or are to be affixed to: *
 The lumber to be cut or minerals or the like (including oil and gas) is on: *
*(Describe Real Estate Below)

No. & Street Town or City County Section Block Lot

10. This statement is filed without the debtor's signature to perfect a security interest in collateral (check appropriate box)

- under a security agreement signed by debtor authorizing secured party to file this statement, or
 which is proceeds of the original collateral described above in which a security interest was perfected, or
 acquired after a change of name, identity or corporate structure of the debtor, or as to which the filing has lapsed, or already subject to a security interest in another jurisdiction:
 when the collateral was brought into the state, or when the debtor's location was changed to this state.

By _____

Signature(s) of Debtor(s)

By _____

Signature(s) of Secured Party(ies)

INSTRUCTIONS

1. PLEASE TYPE this form clearly and legibly in black -- illegible forms will be returned.
2. Fold only above or below card copy for mailing.
3. Remove Secured Party and Debtor copies and send other three copies with interleaved carbon paper still intact to the filing officer.
4. Filing fee -- for filing in the office of the Secretary of State, see section 96-a of the Executive Law; for filing in the office of the County Clerk or New York City Register, see section 8021(f) of the Civil Practice Law and Rules; or you may contact any of the respective offices for information relative to fees.
5. If the debtor is a transmitting utility, check the box in item 3.
6. If the space provided for any item(s) on the form is inadequate, the item(s) should be continued on additional sheets, preferably 7-3/8" x 10" or 7-3/8" x 8". Only one copy of such additional sheets needs be presented to the filing officer with a set of three copies of the Financing Statement.
7. If collateral is crops or goods which are or are to become fixtures or timber to be cut, or minerals or the like (including oil and gas), check the appropriate box in Item 7; check the box in Item 8 indicating the Financing Statement is to be indexed in the real estate records, and describe in Item 8 the real estate by street and number, if any, or if none, by another description sufficient to identify it, such as "The real property described in Liber_____ of Deeds (or Mortgages) at Page_____.", and by city or town and county, if any, and, if in New York City, or Nassau or Onondaga County where the "block" system of recording or registering and indexing conveyances is in use, by the number of the Block and of the Section, if any, and of the Lot, if any, of the real estate involved. Also, give the name(s) of a record owner of the real estate in Item 9.
8. When a copy of the security agreement is used as a Financing Statement, the filing fee is that of a nonstandard form filing. It is requested that it be accompanied by a completed but unsigned set of these forms.
9. At the time of original filing, filing officer will return second copy as an acknowledgment.
10. Do not write in Box 4.

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No. of additional
Sheets Presented:

3. The debtor is a transmitting utility.

1. Debtor(s) (Last Name First) and Address(es):

2. Secured Party(ies) Name(s) and Address(es):

4. For Filing Officer: Date, Time, No. Filing Office

5. This statement refers to original Financing Statement No. _____ filed (date) _____ with _____

6. A. Continuation The original Financing Statement bearing the above file number is still effective.
- B. Termination The Secured Party of record no longer claims a security interest under the Financing Statement bearing the above file number.
- C. Release From the Collateral described in the Financing Statement bearing the above file number, the Secured Party of record releases the following:
- D. Assignment The Secured Party of record has assigned the Secured Party's rights in the property described below under the Financing Statement bearing the above file number to the Assignee whose name and address are shown below:
- E. Amendment The Financing Statement bearing the above file number is amended as set forth below: (Signature of Debtor and Secured Party is Required)

This statement is to be indexed in the Real Estate Records

Section

Block

Lot

By _____
Signature(s) of Debtor(s) (only on amendment)

By _____
(Signature(s) of Secured Party(ies))

(1) FILING OFFICER COPY - NUMERICAL

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3. If the debtor is a transmitting utility, check the box in Item 3.
4. If the space provided for any item(s) on the form is inadequate, the item(s) should be continued on additional sheets, preferably 7-3/8" x 10". Only one copy of such additional sheets needs be presented to the filing officer with a set of three copies of Form UCC-3. Long schedules of collateral, etc., may be on any size paper that is convenient for the secured party.
5. Check the box indicating the Financing Statement is to be indexed in the real estate records and describe the real estate by street and number, if any, or if none, by another description sufficient to identify it, such as "the real property described in Liber___of Deeds (or Mortgages) at Page___", and by city or town and county, if any, and, if in New York City, or Nassau or Onondaga County where the "block" system of recording or registering and indexing conveyances is in use, by the number of the Block and of the Section, if any, and of the Lot, if any, of the real estate involved.
6. At the time of filing, filing officer will return second copy as an acknowledgment.
7. Do not write in Box 4.
8. Filing fee – for filing in the office of the Secretary of State, see section 96-a of the Executive Law; for filing in the office of the County Clerk or New York City Register, see section 8021(f) of the Civil Practice Law and Rules: or you may contact any of the respective offices for information relative to fees.
9. If an assignment has previously been made, the assignee is now the secured party of record and must sign this form.
10. If this Financing Statement Change is signed by a person other than the secured party of record, it must be accompanied by a separate written statement of assignment, including payment of the required fee.
11. The name and address of the debtor and secured party, as shown on this form, must conform to that shown on the original financing statement or original financing statement as amended or assigned.