



STATE OF MINNESOTA UCC-1 FINANCING STATEMENT

For Filing Officer

This statement is presented for filing pursuant to *Minnesota Uniform Commercial Code Minnesota Statutes Chapter 336.9-402*
(Type in Black Ink)

1. Individual Debtor - Last Name		First Name		Middle I.	
Social Security #		Mailing Address			
City		State	Zip Code		
2. Individual Debtor - Last Name		First Name		Middle I.	
Social Security #		Mailing Address			
City		State	Zip Code		
3. Business Debtor - Name					
Fed. ID #		Mailing Address			
City		State	Zip Code		
4. Secured Party Name			5. Assignee of Secured Party		
Mailing Address			Mailing Address		
City	State	Zip Code	City	State	Zip Code

6. This financing statement covers the following types or items of property. (If crops are covered describe the real estate and list the name of record owner.)

_____ Debtor is a transmitting utility
as defined by Minnesota Statutes Chapter 336.9-105

RETURN ACKNOWLEDGEMENT COPY TO: (name and address)

Please do not type outside the bracketed area.

Debtor's Signature (Required in Most Cases see instructions)
Debtor's Signature
Secured Party's Signature

INSTRUCTIONS FOR UCC-1 FINANCING STATEMENT

**THIS STATEMENT MUST BE TYPED OR LEGIBLY PRINTED IN BLACK INK
ONLY ILLEGIBLE STATEMENTS WILL BE RETURNED WITHOUT BEING FILED.**

Minnesota Relay Service
TDD #(612)297-5353

General Instructions

1. Review the form to make sure the information is legible. Illegible information will result in a rejected filing.
2. Verify the information on the form for accuracy and correct spelling.
3. If the space provided for any item on this form is inadequate, use up to four additional pages.

Specific Instructions

DEBTOR NAME: Provide the true and complete name of each debtor. Initials, abbreviations or nicknames are not acceptable. A social security number or federal tax identification number is required for each debtor name. List individual debtor names and business debtor names in the appropriate boxes. Failure to do so will result in a rejected filing. The debtor name will be indexed in the UCC statewide database exactly as it appears in the debtor box.

Provision of a social security number on this UCC form may require this notice according to federal and state law:

The disclosure of the social security number on this form is mandatory under state law, Minnesota Statutes section 336.0-402 et. seq. The information will be used to distinguish between individuals with the same or similar names who have records about financial transactions filed with county recorders or the secretary of state. Failure to provide the required information will prevent the filing of the Uniform Commercial Code documents and may ultimately prevent the debtor from receiving a loan or the accrual of other benefits pursuant to the financing statement. Persons or entities authorized to receive the social security number information include those persons in the county recorders offices and office of the Secretary of State whos work assignments reasonably require access and those who are authorized by the individual with the affected social security number.

DEBTOR ADDRESS: Provide a complete mailing address for each debtor name listed. One address is accepted for each debtor name.

SECURED PARTY: Provide a complete name and mailing address for the secured party.

ASSIGNEE: If applicable, provide a complete name and mailing address for the assignee. All subsequent filings shall reflect the assignee as the secured party of record.

COLLATERAL: Provide a description of the collateral in box 6. (If crops are listed as collateral, describe the land where the crops are grown, list the name of the record owner of the land and list the crop years that are included. If the space provided for the description is inadequate, use up to four additional pages.)

DEBTOR'S SIGNATURE/SECURED PARTY SIGNATURE: The debtor's signature is required on a financing statement. However, a financing statement is sufficient when it is signed by the secured party instead of the debtor when it is filed to protect a security interest in:

- a. Collateral brought into this state already subject to a security interest in another jurisdiction;
- b. collateral subject to a security interest in another jurisdiction and the debtor's location has changed to this state;
- c. proceeds of some collateral in which a security interest was previously perfected in this state;
- d. collateral which is the subject of a financing statement which has lapsed within one year;
- e. collateral which is subject to a security interest protected under a prior name or identity of the debtor;
- f. a lien filed pursuant to chapter 514; or
- g. collateral which is subject to a filed judgment.

TRANSMITTING UTILITY: The debtor will be indexed as a transmitting utility if this area is marked.

ACKNOWLEDGMENT NAME AND ADDRESS: Provide a complete name and mailing address where acknowledgment of filing may be sent. Failure to do so will result in a rejected filing.



**STANDARD FORM
STATE OF MINNESOTA
UCC-3 STATEMENT OF
CONTINUATION, ASSIGNMENT, RELEASE, ETC.**

For
Filing
Officer

This statement is presented for filing pursuant to *Minnesota Uniform Commercial Code Minnesota Statutes Chapter 336.9-402* (Type in Black Ink)

1. Original Financing Statement No.		Original File Date	
2. DEBTOR (Name and Address)		3. SECURED PARTY (Name and Address)	

The financing statement described above is changed to show a(n): (Please one function per form with the exception of amendment)

- | | |
|--|--|
| <input type="checkbox"/> 4. CONTINUATION the original financing statement bearing the file number shown above is continued for an additional 5 years. <u>The original statement is still effective.</u> | <input type="checkbox"/> 7. PARTIAL ASSIGNMENT some of the secured party's rights have been assigned to the Assignee whose name and address appear in BOX 10. A description of the collateral subject to the assignment must also be given. |
| <input type="checkbox"/> 5. AMENDMENT the original financing statement bearing the file number shown above is amended as described in BOX 10. See instruction 5 on the reverse side for additional information. | <input type="checkbox"/> 8. PARTIAL RELEASE the secured party releases the collateral described in BOX 10 but retains a security interest in the original financing statement bearing the file number shown above. |
| <input type="checkbox"/> 6. TOTAL ASSIGNMENT all of the secured party's rights under the original financing statement have been assigned to the assignee whose name and address appear in BOX 10. | <input type="checkbox"/> 9. TERMINATION the secured party of record no longer claims a security interest under the financing statement bearing the file number shown above. |

10.

RETURN ACKNOWLEDGMENT COPY TO: (name and address)

Please do not type outside the bracketed area.

Debtor Signature

Secured Party

Date

Approved by Secretary of State of Minnesota

INSTRUCTIONS FOR UCC-3 FORM

THIS STATEMENT MUST BE TYPED OR LEGIBLY PRINTED IN BLACK INK ONLY

Minnesota Relay Service
TDD # (612)297-5353

General Instructions

- *Review the form to make sure the information is legible. Illegible information will result in a rejected filing.
- *Verify the information on the form for accuracy and correct spelling.
- *Only one function per form with the exception of amendment. (See instruction #5)

Specific Instructions

1. Provide the correct original financing statement file number and date of filing.
2. The debtor's name and address must appear as listed on the original financing statement or the most recently filed amendment. A social security number or federal tax identification number must be listed directly following each debtor name. Provision of a social security number on the UCC form may require this notice according to federal and state law: The disclosure of the social security number on this form is mandatory under state law, Minnesota Statutes section 336.9-402 et seq. The information will be used to distinguish between individuals with the same or similar names who have records about financial transactions filed with county recorders or the secretary of state. Failure to provide the required information will prevent the filing of the Uniform Commercial Code documents and may ultimately prevent the debtor from receiving a loan or the accrual of other benefits pursuant to the financing statement. Persons or entities authorized to receive the social security number information include those persons in the county recorders offices and office of the Secretary of State whose work assignments reasonably require access and those who are authorized by the individual with the affected social security number.
3. The name and address of the secured party of record must appear as listed on the original financing statement or the most recently filed amendment or assignment.
4. A continuation statement may be filed by the secured party of record in the six months prior to the expiration date and must reflect the social security number and/or the federal tax identification number following each debtor name. EXAMPLE: Jones, Mary SS# 123-45-6789, Anderson, Robert SS# 234-56-7890 or ABC Enterprises, Inc. TIN# 41-1234567.
5. An amendment may be filed to accomplish any one or more of the following provided the change is clearly described:
 - A. Change debtor name and/or mailing address. One address per debtor name will be accepted for recording. If there is a debtor name change, a social security or federal tax identification number must be included. EXAMPLE: Debtor name changed FROM: Jones, Mary TO: Smith, Mary SS# 123-45-6789. Requires debtor and secured party signatures.
 - B. Change secured party name and/or mailing address. One address per secured party will be accepted for recording. Required secured party signature only.
 - C. Add a debtor's social security number or federal tax identification number. EXAMPLE: Add SS# to debtor: Jones, Mary SS# 123-45-6789. Requires debtor and secured party's signature.
 - D. Add items of collateral. If the space provided on this form is inadequate, use up to four additional pages. Requires debtor and secured party's signature.
 - E. Any other change in information.

UNCLEAR AMENDMENTS WILL RESULT IN A REJECTED FILING

6. All of the collateral as shown on the original financing statement may be assigned to the assignee listed on box 10.
7. A portion of the collateral as described in box 10 may be assigned to the assignee listed in box 10.
8. The secured party retains a security interest in the original financing statement but releases the collateral described in box 10.
9. The secured party no longer claims a security interest under the original financing statement.
10. Provide clear and legible descriptions of all changes.
EXAMPLE: Change debtor name from: Anderson, Robert d/b/a Anderson Repair Shop to: Robert Anderson Repairs and Service, Inc. TIN# 41-1234567.
EXAMPLE: Change secured party address to: 123 South Main Street, Anytown, MN 55155.
11. Provide a complete name and mailing address where acknowledgement of filing may be sent. Failure to do so will result in a rejected filing.
12. The debtor's signature is not required in all cases. See instruction 5.
13. The secured party's signature is required in all cases.