

**STATE OF KENTUCKY  
UNIFORM COMMERCIAL CODE – FINANCING STATEMENT – FORM UCC-1**

**INSTRUCTIONS**

1. PLEASE TYPE this form. Fold only along perforation for mailing.
2. Remove Secured Party and Debtor copies and send other 3 copies with interleaved carbon paper to the filing officer with filing fee.
3. When filing is to be with more than one office, Form UCC-2 may be placed over this set to avoid double typing.
4. If the space provided for any item(s) on the form is inadequate the item(s) should be continued on additional sheets, preferably 5" x 8" or 8" by 10". Only one copy of such additional sheets need be presented to the filing officer with a set of three copies of the financing statement. Long schedules of collateral, indentures, etc. may be on any size paper that is convenient for the secured party.
5. If collateral is crops or goods which are or are to become fixtures, describe generally the real estate and give name of record owner.
6. When a copy of the security agreement is used as a financing statement, it is requested that it be accompanied by a completed but unsigned set of these forms, without extra fee.
7. At the time of original filing, filing officer should return third copy as an acknowledgment. At a later time, secured party may date and sign Termination Legend and use third copy as a Termination Statement.

This **FINANCING STATEMENT** is presented to a filing officer for filing pursuant to the Uniform Commercial Code. **3** Maturity date (if any): **NONE STATED**

**1** Debtor(s) (Last Name First) and address(es)

**2** Secured Party and address

For Filing Officer (Date, Time, Number, and Filing Office)

**Debtor(s) Taxpayer Number or Employer Identification Number:**

**4** This financing statement covers the following types (or items) of property - also described realty where collateral is crops or fixtures and name(s) of record owner(s):

**Assignee(s) of Secured Party and Addressee(s)**

Check if applicable:  
 The described crops are growing or are to be grown on the real estate described herein.  
 The described goods are or will be affixed to the real estate described herein and this statement is to be filed in this same office as the real estate records.  
 Name of real estate record owner if other than Debtor: \_\_\_\_\_

**AS APPLICABLE:**

Check   Proceeds of Collateral are also covered;  Products of Collateral are also covered;  Debt Secured exceeds \$200.00.

Filed with: \_\_\_\_\_

No of Additional Sheets presented: \_\_\_\_\_

By: \_\_\_\_\_  
 Signature(s) of Debtor(s)

By: \_\_\_\_\_  
 Signature of Secured Party (Title)

**UNIFORM COMMERCIAL CODE - STANDARD FORM**

**STATEMENTS OF CONTINUATION, PARTIAL RELEASE, ASSIGNMENT, ETC. - FORM UCC-3**

**COMMONWEALTH OF KENTUCKY**

**INSTRUCTIONS:**

1. PLEASE TYPE this form. Fold only along perforation for mailing.
2. Remove Secured Party and Debtor copies and send other 3 copies with interleaved carbon paper to the filing officer.
3. Enclose filing fee(s), and fill in original Financing Statement number and date filed.
4. If the space provided for any item(s) on the form is inadequate the item(s) should be continued on additional sheets, preferably 5" x 8" or 8" x 10". Only one copy of such additional sheets need be presented to the filing officer with a set of three copies of Form UCC-3. Long schedules of collateral, etc., may be on any size paper that is convenient for the secured party. Indicate the number of additional sheets attached.
5. If collateral is crops or goods which are or are to become fixtures, describe generally the real estate and give name of record owner.
6. At the time of filing, filing officer will return third copy as an acknowledgement.

This STATEMENT is presented to a filing officer for filing pursuant to the Uniform Commercial Code:

3. Maturity date (if any): \_\_\_\_\_

1. Debtor(s) (Last Name First) and address(es)

2. Secured Party(ies) and address(es)

For Filing Officer (Date, Time and Filing Office)

4. This statement refers to original Financing Statement bearing File No. \_\_\_\_\_

Filed with \_\_\_\_\_ Date Filed \_\_\_\_\_ 19 \_\_\_\_\_

5.  Continuation. The original financing statement between the foregoing Debtor and Secured Party, bearing file number shown above, is still effective.
6.  Termination. Secured party no longer claims a security interest under the financing statement bearing file number shown above.
7.  Assignment. The secured party's right under the financing statement bearing file number shown above to the property described in Item 10 have been assigned to the assignee whose name and address appears in Item 10.
8.  Amendment. Financing Statement bearing file number shown above is amended as set forth in Item 10.
9.  Release. Secured Party releases the collateral described in Item 10 from the financing statement bearing file number shown above.

10. \_\_\_\_\_

No. of additional Sheets presented: \_\_\_\_\_

By: \_\_\_\_\_  
Signature(s) of Debtor(s) (necessary only if Item 8 is applicable).

By: \_\_\_\_\_  
Signature(s) of Secured Party(ies)

(1) Filing Officer Copy - Alphabetical

**STANDARD FORM - FORM UCC-3**